Fixed points of Sturmian morphisms and their derivated words

Karel Klouda, Kateřina Medková, Edita Pelantová, Štěpán Starosta

Czech Technical University in Prague

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Intro	odu	icti	ion
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Motivation I

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Motivation I

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and obtain the Fibonacci word u

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if we take any prefix, we obtain again the Fibonacci word

Motivation II

By [F. Durand, 1998] and [L. Vuillon, 2001]

any derivated word of a Sturmian word (with respect to some its prefix) is a Sturmian word

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if **u** is a fixed point of a primitive morphism, then the set of all its derivated words is finite

and yet again by **[F. Durand, 1998]** all such derivated words are fixed by a primitive morphism

Results

Remarks Open question o

Known results and our questions

[I. M. Araújo, V. Bruyère, 2005] : slopes of derivated words of standard/characteristic/homogeneous Sturmian words

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Our question: what are the morphisms fixing the derivated words and what is their number?

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Notation

when considering derivated words, we consider them up to a permutation of letters

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 $Der(\mathbf{u}) = \{ \text{ derivated word of } \mathbf{u} \text{ with respect to } w : w \text{ is a prefix of } \mathbf{u} \}$

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Sturmian monoid

We work with these four elementary Sturmian morphisms:

$$\varphi_{a}: \begin{cases} 0 \to 0 \\ 1 \to 10 \end{cases} \qquad \varphi_{b}: \begin{cases} 0 \to 0 \\ 1 \to 01 \end{cases} \qquad \varphi_{\alpha}: \begin{cases} 0 \to 01 \\ 1 \to 1 \end{cases} \qquad \varphi_{\beta}: \begin{cases} 0 \to 10 \\ 1 \to 1 \end{cases}$$

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Set $\mathcal{M} = \langle \varphi_{a}, \varphi_{b}, \varphi_{\alpha}, \varphi_{\beta} \rangle.$

Notation: $\varphi_{w} = \varphi_{w_0} \varphi_{w_1} \cdots \varphi_{w_{|w|-1}}$, e.g., $\varphi_{a\alpha b} = \varphi_a \varphi_\alpha \varphi_b$



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The monoid \mathcal{M} has presentation

 $\varphi_{\alpha a^k \beta} = \varphi_{\beta b^k \alpha}$ and $\varphi_{a \alpha^k b} = \varphi_{b \beta^k a}$.

[P. Séébold, 1991] [C. Kassel, C. Reutenauer, 2007]

Results

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Normalized names

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The mapping Δ

Let $w \in \{a, b, \alpha, \beta\}^* \setminus \{a, \alpha\}^*$ be the normalized name of a morphism ψ , i.e., $\psi = \varphi_w$. We set

$$\Delta(w) = \begin{cases} N(w'a^k\beta) & \text{if } w = a^k\beta w', \\ N(w'\alpha^kb) & \text{if } w = \alpha^k bw'. \end{cases}$$

Results

Remarks Open question

Example

Consider the morphism $\psi = \varphi_w$, where $w = N(w) = \beta \alpha a a \alpha$, and apply repeatedly the transformation Δ on w.

 $w = \beta \alpha aa\alpha$ $\Delta(w) = \alpha aa\alpha\beta$ $\Delta^{2}(w) = bb\alpha\alpha\beta$ $\Delta^{3}(w) = b\beta\alpha\alpha b$ $\Delta^{4}(w) = \beta\alpha\alpha bb$ $\Delta^{5}(w) = \alpha\alpha bb\beta$ $\Delta^{6}(w) = \Delta^{3}(w)$

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The 5 fixed points of the morphisms

 $\varphi_{\Delta(w)}, \varphi_{\Delta^2(w)}, \varphi_{\Delta^3(w)}, \varphi_{\Delta^4(w)}, \varphi_{\Delta^5(w)}$ are exactly the five derivated words of the fixed point of ψ .

Results

Morphisms with unique fixed points

Theorem

Let $\psi \in \langle \varphi_a, \varphi_b, \varphi_\alpha, \varphi_\beta \rangle \setminus \langle \varphi_a, \varphi_\alpha \rangle$ be a primitive morphism w be its normalized name.

Denote **u** the fixed point of ψ .

The word **x** is (up to a permutation of letters) a derivated word of **u** with respect to one of its prefixes if and only if **x** is the fixed point of the morphism $\varphi_{\Delta^{j}(w)}$ for some $j \ge 1$.

Results

Remarks Open question o

Morphisms with two fixed points

Given a finite word u, we define the **cyclic shift** of $u = u_0 u_1 \cdots u_{n-1}$ to be the word

$$\operatorname{cyc}(u) = u_1 u_2 \cdots u_{n-1} u_0.$$

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Theorem

Let $\psi = \varphi_w$ be a primitive morphism with $w \in \{a, \alpha\}^*$ and a be the first letter of w.

- (0) Let u be the fixed point of ψ starting with 0. Denote
 v = b⁻¹N(wb) ∈ {a, β}*. We have Der(u) = {v} ∪ Der(v),
 where v is the unique fixed point of the morphism φ_v.
- Let u be the fixed point of ψ starting with 1. Put v = cyc(w). We have Der(u) = Der(v), where v is the fixed point of the morphism φ_v starting with 1.

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- Let u be the fixed point of ψ starting with 1. Put v = cyc(w). We have Der(u) = Der(v), where v is the fixed point of the morphism φ_v starting with 1.

If *b* is the first letter: $a \leftrightarrow b$, $\alpha \leftrightarrow \beta$ and $0 \leftrightarrow 1$.

Moreover, in the case $w \in \{a, \alpha\}^*$ we know that the Sturmian words in $Der(\mathbf{u})$ (fixed by an element of $\langle a, \beta \rangle$ or $\langle \alpha, b \rangle$) have intercept 0 [M. **Dekking, 2017**]

Bounds on the number of derivated words

If $w \in \{a, b, \alpha, \beta\}^* \setminus \{a, \alpha\}^*$ is the normalized name of a primitive morphism $\psi = \varphi_w$ and **u** is a fixed point of ψ , then

$$1 \le \# \operatorname{Der}(\mathbf{u}) \le 3|w| - 4.$$
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$$1 \le \# Der(\mathbf{u}) \le 3|w| - 4.$$
 (2)

For
$$n \ge 2$$
 set $w' = \beta^{n-2} a \alpha$ and $w'' = \alpha^{n-1} \beta$. We have

(i) $\varphi_{w'}$ and $\varphi_{w''}$ are not powers of other Sturmian morphisms,

(ii) for the fixed points \mathbf{u}' and \mathbf{u}'' of the morphisms $\varphi_{\mathbf{w}'}$ and $\varphi_{\mathbf{w}''}$, the lower resp. the upper bound in (2) is attained.

Results

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Exact counts - mappings ${\pmb F}$ and ${\pmb E}$

 $\mathit{F}: \{\mathit{a}, \mathit{b}, \alpha, \beta\}^* \mapsto \{\mathit{a}, \mathit{b}, \alpha, \beta\}^*$ determined by

$${oldsymbol F}({oldsymbol a})=lpha, \quad {oldsymbol F}({oldsymbol b})=eta, \quad {oldsymbol F}(eta)={oldsymbol b},$$

and we set

$$\operatorname{cyc}_{\mathrm{F}}(w_1w_2w_3\cdots w_n) = w_2w_3\cdots w_nF(w_1)$$

for a finite word $w_1 w_2 w_3 \cdots w_n$.

E is the morphism over $\{0, 1\}^*$ determined by

$$E(0) = 1$$
 and $E(1) = 0$.

Results

Exact counts - standard Sturmian morphisms

Let **u** be a fixed point of a standard Sturmian morphism ψ which is not a power of any other Sturmian morphism ($\psi \in \langle b, \beta \rangle \cup \langle b, \beta \rangle \circ E$).

(i) If ψ = φ_w (i.e., ψ ∈ ⟨φ_b, φ_β⟩), then u has |w| distinct derivated words, each of them (up to a permutation of letters) is fixed by one of the morphisms

$$\varphi_{\mathbf{v}_0}, \varphi_{\mathbf{v}_1}, \varphi_{\mathbf{v}_2}, \ldots, \varphi_{\mathbf{v}_{|\mathbf{w}|-1}},$$

where $v_k = \text{cyc}^k(w)$ for k = 0, 1, ..., |w| - 1.

(ii) If ψ = φ_w ∘ E (i.e., ψ ∈ ⟨φ_b, φ_β⟩ ∘ E), then u has |w| distinct derivated words, each of them (up to a permutation of letters) is fixed by one of the morphisms

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where $v_k = \text{cyc}_F^k(w)$ for k = 0, 1, ..., |w| - 1.

Can be inferred from [I. M. Araújo, V. Bruyère, 2005] .

Exact counts - $\Psi \in \langle \varphi_a, \varphi_\alpha \rangle$

Let $w \in \{\alpha, a\}^*$ be the normalized name of a primitive morphism ψ such that the letter *a* is a prefix of *w*. Moreover, assume that ψ is not a power of any other Sturmian morphism.

- (i) The fixed point of ψ starting with 0 has exactly $1 + |w|_{\alpha}$ distinct derivated words.
- (ii) The fixed point of ψ starting with 1 has exactly $1 + |w|_a$ distinct derivated words.

If *b* is the first letter: $a \leftrightarrow b$, $\alpha \leftrightarrow \beta$ and $0 \leftrightarrow 1$.

Open questions

We looked at derivated words only with respect to a prefix. For standard/characteristic Sturmian words it is easy to extend the results to any factor.

Q1: Non-standard words?

Exact counts for other Sturmian morphisms:

Q2: a description when a normalized name is corresponds to a power of a morphism is needed.

Q3: Generalizations - see [V. Berthé, F. Dolce, F. Durand, J. Leroy,
 D. Perrin, *Rigidity and substitutive dendric words*]
 Extension to other *S*-adic sequences requires presentation of the morphism monoid.

Thank you